

# N-Methyl-D-Aspartic Acid Receptor Expression in the Dorsolateral Prefrontal Cortex of Elderly Patients With Schizophrenia

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**Objective:** The *N*-methyl-D-aspartic acid (NMDA) class of glutamate receptors has received attention in the pathophysiology of schizophrenia because of the similarity between some schizophrenic symptoms and symptoms caused by NMDA antagonists. To determine if NMDA receptor abnormalities were present at the mRNA level, expression of NMDA receptor (NR) subunits NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> was measured in specimens from the dorsolateral prefrontal cortex and the occipital cortex of elderly patients with schizophrenia and normal elderly subjects.

**Method:** Postmortem specimens from antemortem assessed and diagnosed elderly patients with schizophrenia (N=26) were compared with those from a neuropathologically and neuropsychiatrically normal elderly comparison group (N=13) and from patients with Alzheimer's disease (N=10). The mRNA expression of the NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> subunits and of postsynaptic density 95 (PSD-95), a protein associated with postsynaptic NMDA receptors, was studied with quantitative real-time reverse transcriptase polymerase chain reaction.

**Results:** Expression of NR<sub>1</sub> and NR<sub>2A</sub> but not NR<sub>2B</sub> subunits was higher in the dorsolateral prefrontal cortex and the occipital cortex of patients with schizophrenia than in the normal and Alzheimer's disease groups. In contrast, NR<sub>1</sub> expression was significantly lower in the Alzheimer's disease group. Occipital cortex expression of PSD-95 was higher in the schizophrenic subjects and correlated strongly with the expression of NR<sub>2A</sub> and NR<sub>2B</sub> in both cortical regions and with expression of NR<sub>1</sub> in the occipital cortex. These results were not influenced by neuroleptic exposure history, postmortem interval, or age of the subject.

**Conclusions:** NMDA receptor subunits are abnormally expressed in elderly patients with schizophrenia. The disproportionate expression of the NR<sub>1</sub> and NR<sub>2A</sub> subunits relative to NR<sub>2B</sub> expression may have implications for the pathophysiology of schizophrenia and the sensitivity of schizophrenic patients to glutamate and glutamatergic drugs.

(*Am J Psychiatry* 2001; 158:1400–1410)

Several neurochemical hypotheses have been proposed to explain the origin of schizophrenia, including abnormal dopamine, serotonin (5-HT),  $\gamma$ -aminobutyric acid (GABA), and/or glutamate neurotransmission in different regions of the brain (1–8). Abnormalities in the dorsolateral prefrontal cortex have figured prominently in many of these hypotheses, in part due to results of *in vivo* imaging and neuroanatomical studies (9–11), although there is evidence for structural, metabolic, and neurochemical abnormalities in many other brain regions, including the thalamus, the hippocampus, and the cingulate and entorhinal cortices (1, 12–19).

Strong evidence supporting an association between glutamatergic hypofunction and schizophrenia has come from pharmacological studies showing that *N*-methyl-D-aspartic acid (NMDA) receptor antagonists such as phencyclidine and ketamine can induce many of the psychotic signs and symptoms of schizophrenia in normal subjects, as well as exacerbate these signs and symptoms in sub-

jects with schizophrenia (20–27). Observations of abnormalities in markers of glutamatergic neurotransmission in the hippocampus and the entorhinal, cingulate, orbital, and prefrontal cortices of patients with schizophrenia (3, 13, 28–43), the critical role of glutamatergic systems in learning and memory (44, 45), and the clear evidence for the neurotoxicity of glutamate (28, 46, 47) have given further credence to the potential involvement of the glutamatergic system in schizophrenia.

Glutamate receptors comprise four different receptor families: NMDA, kainate,  $\alpha$ -amino-3-hydroxy-5-methylisoxazole-4-propionate (AMPA), and metabotropic receptors (48, 49). Because glutamate is the principal excitatory neurotransmitter in the brain, its receptors are ubiquitously but relatively discretely distributed throughout the neuraxis (50–53). Although there is evidence suggesting that kainate receptors are predominantly presynaptic and that AMPA and NMDA receptors are predominantly postsynaptic and often coexpressed, AMPA and NMDA recep-

**TABLE 1. Characteristics of Postmortem Brain Tissue From Patients With Schizophrenia, Normal Comparison Subjects, and Patients With Alzheimer's Disease**

Characteristic	Tissue From Patients With Schizophrenia				Tissue From Normal Comparison Subjects				Tissue From Patients With Alzheimer's Disease (N=10)	
	All Patients (N=26)		Patients Matched for Age With Normal Comparison Subjects (N=10)		All Subjects (N=13)		Subjects Matched for Age With Patients With Schizophrenia (N=10)			
	N	N	N	N	N	N	N	N	Mean	SD
Subjects' gender										
Male	17	4	5	2	4					
Female	9	6	8	8	6					
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Subjects' age at death (years)	72.3	12.0	81.1	9.3	82.8	10.0	81.5	11.1	79.8	9.8
Postmortem interval (hours)	14.6	9.5	14.2	8.4	8.0	5.5	8.0	5.7	10.1	9.3
pH	6.33	0.32	6.36	0.31	6.29	0.31	6.23	0.34	6.27	0.37
Storage time interval (days)	2128.0	1029.5	1998.6	995.7	1759.4	1006.2	1815.9	1129.6	2198.9	676.2

tors can also be localized to presynaptic sites (51, 54). Each receptor is assembled from multiple subunits that are encoded by different genes. The NMDA receptor is assembled from a combination of four–five subunits designated NR<sub>1</sub> and NR<sub>2A–D</sub>. The NR<sub>1</sub> subunit is often considered obligatory for functional NMDA receptor assemblies, but the remaining subunits can vary. Additional heterogeneity is conferred by eight alternative splice variants of the NR<sub>1</sub> subunit that have different expression patterns within the human brain (55). The pattern of subunits assembled to form specific glutamate receptors varies from brain region to brain region (55). In the cerebral cortex and the hippocampus, NMDA receptors are assembled from NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> subunits, and the NR<sub>2C</sub> and NR<sub>2D</sub> subunits are generally thought not to be expressed at appreciable levels (54, 56) (but see reference 38). Since the various combinations of NMDA receptor subunits confer different sensitivities to various endogenous and exogenous glutamatergic ligands and since NMDA receptors can be localized pre- and postsynaptically (57–59), the release of glutamate from any given neuron or a pharmacologic glutamate ligand can have a wide range of effects in different brain regions and on glutamatergic function and activity.

At a postsynaptic level, the clustering, assemblage, and anchoring of NMDA receptors is governed in part by a protein family known as postsynaptic density 95/synapse-associated protein 90 (PSD-95/SAP-90) that appears also to play an important role in the binding and assemblage of signal transduction complexes (60–65). PSD-95 is exclusively localized with postsynaptic NMDA receptor-related densities (62, 66), with significantly greater association with the NR<sub>2A</sub> and NR<sub>2B</sub> subunits than with the NR<sub>1</sub> subunit, although it does co-localize and associate with some splice variants of the NR<sub>1</sub> subunit (60, 62). PSD-95 also plays an important role in signal transduction, nitric oxide neurotoxicity linked to NMDA receptor activation (67, 68), and NMDA-induced long-term potentiation (69). Because of these characteristics, PSD-95 is important in providing a functional scaffold for postsynaptic NMDA receptors and in mediating intracellular NMDA receptor functions.

The study described here sought to determine whether the expression of genes encoding for NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> subunits of the NMDA receptor and their postsynaptic anchoring protein PSD-95 are specifically altered in the dorsolateral prefrontal cortex of patients with schizophrenia. The dorsolateral prefrontal cortex was studied in postmortem brains of elderly schizophrenic subjects who had been antemortem diagnosed with DSM-IV criteria (70–72), had no other neuropsychiatric disease, had died of natural nonviolent causes without coma, had no evidence of significant neuropathology (73), and had clear documentation of neuroleptic drug exposure during the months and weeks before death. Specimens of the dorsolateral prefrontal cortex from these subjects were compared to identically treated and dissected specimens from normal elderly subjects who were found by chart review and caregiver interviews to have no neuropsychiatric or neurological diseases and who had no significant neuropathology. Specificity to schizophrenia was tested by the inclusion of specimens from patients with Alzheimer's disease. To determine the specificity of findings to the dorsolateral prefrontal cortex, specimens from the primary occipital cortex (Brodmann's area 17) from the same subjects were dissected and assessed for NMDA receptor and PSD-95 transcript mRNA abundance by using identical procedures.

## Method

### Human Postmortem Tissue

Frozen postmortem brain samples from subjects diagnosed with DSM-IV schizophrenia (N=26), normal elderly comparison subjects (N=13), and subjects with Alzheimer's disease (N=10) were obtained from the Brain Bank, Department of Psychiatry, Mount Sinai/Bronx Veterans Administration Medical Center. The sex distribution and mean age, postmortem interval, and tissue pH of the cohorts are shown in Table 1. All schizophrenic subjects had been hospitalized for the long term at Pilgrim Psychiatric Center (New York). Complete medical charts were available for all subjects, and 16 of the 26 schizophrenic subjects had been prospectively diagnosed and neuropsychiatrically assessed by a team of research clinicians (70–72). Patients who died before the antemortem assessment by the research team were diagnosed by the

**TABLE 2. Primers and Molecular Beacons Used in Real-Time Reverse Transcriptase Polymerase Chain Reaction Assessing Gene Expression of N-Methyl-D-Aspartic Acid (NMDA) Receptor (NR) Subunits, Postsynaptic Density 95 (PSD-95), and the Endogenous Reference  $\beta$ -Actin in Postmortem Brain Specimens From Human Subjects and Rats**

Gene	Primer or Molecular Beacon Sequence <sup>a</sup>	National Center for Biotechnology Information Accession Number	Polymerase Chain Reaction Product Size (base pairs)
<b>Human</b>			
<b>Primer</b>			
NR <sub>1</sub>	Forward-5'-AGAGCTCCGTGGATATCTACTTCC-3' Reverse-5'-GAGTCACATTCCAGATACCGAACC-3'	NM_000832	343
NR <sub>2A</sub>	Forward-5'-GCACAGAATCCAAAGCGAACT-3' Reverse-5'-TCTCTGCTGTTGCCATCC-3'	U90277	120
NR <sub>2B</sub>	Forward-5'-AGCTTACGCATTCTGACTG-3' Reverse-5'-CTTGGTACACGTTGCTGTCC-3'	U90278	130
PSD-95	Forward-5'-AGCCCCAGGATATGAGTTGC-3' Reverse-5'-GATGTGTGGGTTGTCAGTGC-3'	U83192	127
$\beta$ -Actin	Forward-5'-TCACCCACACTGTGCCATCTACGA-3' Reverse-5'-CAGCGGAACCGCTCATTGCCAATGG-3'	NM_001101	295
<b>Molecular beacon</b>			
NR <sub>1</sub> -MB	TET-5'-CCGAGCATGTACCGGCATATGGAGAAGCGCTCGG-3'-DABCYL		
NR <sub>2A</sub> -MB	TET-5'-CGCAGCGATAGTGAATCCTGGCGTATGGCTGCG-3'-DABCYL		
NR <sub>2B</sub> -MB	TET-5'-CGCAGCGAGGTAGAGAGAACGTTCCGGAGCTGCG-3'-DABCYL		
PSD-95-MB	FAM-5'-CTGCGCAGGGGAGATGGAATACGAGGAAGCGCAG-3'-DABCYL		
$\beta$ -Actin-MB	FAM-5'-CCGGTCAGCCGTGGCCATCTCTGTCTCGAAGGACCGG-3'-DABCYL		
<b>Rat</b>			
<b>Primer</b>			
NR <sub>1</sub>	Forward-5'-GCAAGAATGAGTCAGCCAC-3' Reverse-5'-CAGTCACTCCGTCCGCATAC-3'	U11418	186
NR <sub>2A</sub>	Forward-5'-CGGACCACTCGCTAAAGAG-3' Reverse-5'-GTTATCTGGTCCCTGTGGC-3'	NM_012573	105
NR <sub>2B</sub>	Forward-5'-CAACATCCTACGCTGTGCTCC-3' Reverse-5'-TCGTAGACGGAGGACTCTCG-3'	NM_012574	111
$\beta$ -Actin	Forward-5'-AGGCATCCTGACCTGAAGTAC-3' Reverse-5'-GAGGCATACAGGGACAACACAG-3'	V01217 J00691	249
<b>Molecular beacon</b>			
NR <sub>1</sub>	FAM-5'-CGCAGCGGTGGCACAGGCAGTTCACGAAGCTGCG-3'-DABCYL		
NR <sub>2A</sub>	FAM-5'-CGCAGCGGCCCGCTTGAGGTTTCTGAAGCTGCG-3'-DABCYL		
NR <sub>2B</sub>	FAM-5'-CGCAGCAAGTCCAGGGCACTCTGAGGGGCTGCG-3'-DABCYL		
$\beta$ -Actin	FAM-5'-CGCAGGGCTGGGTGTTGAAGGTCTCACGTGCG-3'-DABCYL		

<sup>a</sup> TET=tetrachloro-6-carboxyfluorescein; FAM=fluorescein; DABCYL=4-(4'-dimethylaminophenylazo) benzoic acid.

same team of research clinicians who conducted diagnostic reviews of all medical charts (73). The reliability of these postmortem diagnostic procedures was confirmed by assessing an independent group of 35 subjects from the same institution by using structured interviews and blindly by using chart review. Interrater/interassessment reliability was 0.86. All assessment and postmortem evaluations and procedures were approved by the institutional review boards of the Pilgrim Psychiatric Center, the Mount Sinai School of Medicine, and the Bronx VA Medical Center. All patients had thorough neuropathologic characterization to rule out associated neurologic complications such as Alzheimer's disease and multi-infarct dementia (73). Normal comparison subjects had no history of any psychiatric or neurologic disorders and no discernible neuropathologic lesions. Nine of the schizophrenic subjects had not received neuroleptic medications for at least 6 weeks before death (range=0–124 weeks). For comparison purposes and to enable assessment of specificity of the findings to schizophrenia, brain tissue from 10 subjects with a diagnosis of definite Alzheimer's disease (according to criteria of the Consortium to Establish a Registry for Alzheimer's Disease) (74) was also studied. The overall characteristics and diagnostic procedures for these Alzheimer's disease subjects have been described extensively (75, 76).

Each brain was divided midsagittally at the time of extraction. The left half was sectioned in 6–8-mm coronal slabs, immediately snap-frozen in liquid nitrogen-cooled isopentane, and stored at –80°C. Gray matter from the frozen dorsolateral pre-

frontal cortex (77, 78) (Brodmann's area 46) and occipital cortex (Brodmann's area 17) was dissected from coronal sections of frozen brain (–80°C). Brodmann's area 17 was identified as the area containing the band of Gennari in the coronal section from approximately 2 cm rostral to the occipital pole. The dissected tissues were pulverized at –190°C into a fine powder, aliquoted into individual Eppendorf tubes, and stored at –80°C until use.

### Quantitation of NMDA Receptor Expression

**RNA isolation.** Total RNA was isolated from 50 mg of tissue with the guanidinium isothiocyanate method (79) by using the ToTALLY RNA kit (Ambion, Austin, Tex.) according to the manufacturer's protocol. To remove genomic DNA contamination, isolated RNA samples were then treated with 40 units of DNase I (Ambion) in the presence of 120 units of RNaseOUT (GibcoBRL, Invitrogen, Carlsbad, Calif.) for 1 hour at 37°C. The yield of total RNA determined by absorbance at 260 nM ranged from 15 to 30  $\mu$ g per 50 mg of brain tissue. The 260/280 nM ratios of the samples were >2.1. The yield and quality of total RNA was also analyzed by using agarose gel electrophoresis.

**Reverse transcriptase reaction.** Total RNA (~2  $\mu$ g) was used in 20  $\mu$ l of reverse transcriptase reaction to synthesize cDNA, by using a ThermoScript RT-PCR System kit (GibcoBRL) and random hexamers as primers. The cDNA was diluted 50 times with water, and 5  $\mu$ l of the diluted cDNA was amplified in 25  $\mu$ l of polymerase chain reaction (PCR) mix.

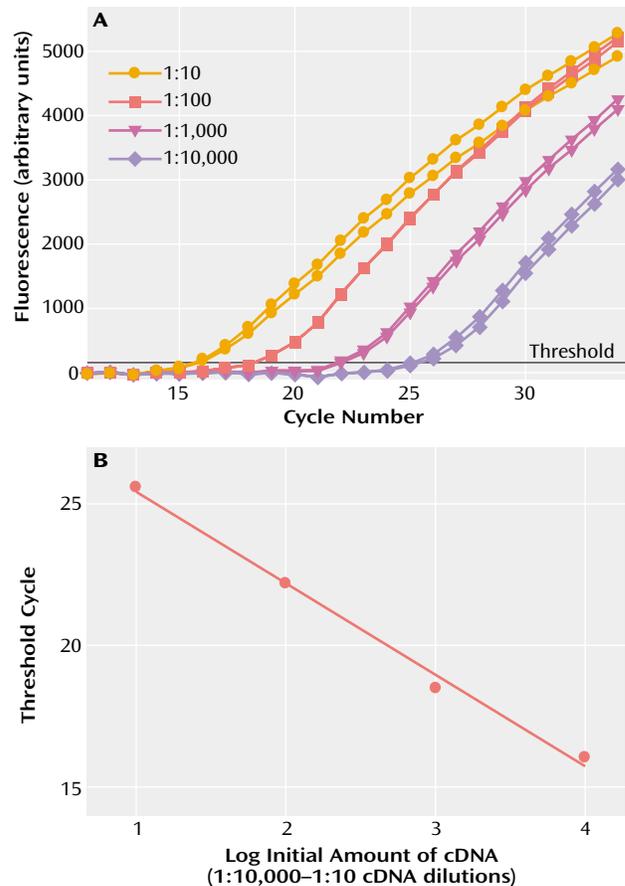
**Primer and molecular beacon design.** Real-time reverse transcriptase polymerase chain reaction (RT-PCR) was used for quantitation of NMDA receptor and PSD-95 expression. PCR primers were designed by using Vector NTI software (InforMax, North Bethesda, Md.). NR<sub>1</sub> primers were designed to ignore distinctions between known splicing variants of this NMDA receptor subunit. Molecular beacons were used as fluorogenic probes in the real-time PCR. Molecular beacons are hairpin-shaped molecules with an internally quenched fluorophore whose fluorescence is restored when they bind to their target nucleic acids (80–83). The molecular beacons were designed by using a DNA folding program (available at <http://www.ibt.wustl.edu/~zucker>) to estimate the stability of the hairpin stem. The molecular beacons used in the experiments contained probe sequences that were 22–25 nucleotides long and arm sequences that were six nucleotides long. The melting temperature of the hairpin stems and probe sequences was 64–66°C. Fluorescein (FAM) or tetra-chloro 6-carboxyfluorescein (TET) fluorophores were covalently linked to the 5' end of the molecular beacons, and the quencher 4-(4'-dimethylaminophenylazo) benzoic acid (DABCYL) was covalently linked to the 3' end. The primers and molecular beacons were synthesized either commercially (IDT, Coralville, Iowa) or by one of us (S.A.E.M.). The primer pair and molecular beacon sequences that were used to detect each of the mRNAs are shown in Table 2.

**Real-time PCR.** Real-time PCR analysis was performed by using an ABI Prism 7700 Sequence Detector (Applied Biosystems, Foster City, Calif.). Each 25  $\mu$ l PCR reaction contained 5  $\mu$ l of the relevant cDNA, 200 nM of molecular beacon, 500 nM of each primer, 1 unit of AmpliTaq Gold DNA polymerase (Applied Biosystems), 250  $\mu$ M of each deoxy-nucleotide triphosphate (dNTP), 4 mM MgCl<sub>2</sub>, 50 mM KCl, and 10 mM Tris-HCl (pH 8.3). The thermal cycling program consisted of 10 minutes at 95°C to activate the polymerase, followed by 10 cycles of 15 seconds at 95°C and 45 seconds at 70–61°C (touch-down PCR, annealing temperature was decreased 1°C after each cycle). This touch-down step was followed by 35 cycles of 15 seconds at 95°C and 1 minute at 60°C. Fluorescence was monitored during the 60°C annealing-extension steps. The reactions were quantitated by selecting the amplification cycle when the PCR product of interest was first detected (threshold cycle [Ct]).

To determine sensitivity of the assays, the amplification of each mRNA in serial dilutions of cDNA derived from pooling of human cortical specimens from 10 randomly selected subjects (pooled sample) was measured. Figure 1 shows the amplification of NR<sub>1</sub> mRNA in 10-fold dilutions of pooled cDNA and the threshold cycle values of these amplifications plotted against the log of the relative initial amount of cDNA. In assays that use PCR to amplify a target sequence exponentially, there is an inverse linear relationship between the threshold cycle and the logarithm of the number of target molecules that were present initially (84). Theoretically, the slope of this linear curve is expected to be  $-3.32$ . In the results shown on Figure 1, a linear relationship between threshold cycle and the initial amount of NR<sub>1</sub> mRNA was demonstrated for four orders of magnitude. The slope of the curve was  $-3.23$ , which was very close to theoretically expected value. These data show the broad dynamic range of the NR<sub>1</sub> mRNA quantitation. Similar results were obtained for each amplification assay performed in this study.

To account for different degrees of RNA degradation and other technical artifacts, relative quantitations of the expression levels of NR<sub>1</sub>, NR<sub>2A</sub>, NR<sub>2B</sub> and PSD-95 genes were performed as described in User Bulletin #2 for the ABI PRISM 7700 Sequence Detection System: Relative Quantitation of Gene Expression; Comparative Ct Method: Separate Tubes, product #4303859 (Applied Biosystems). The expression level of each gene of interest was normalized to the expression level of the endogenous reference

**FIGURE 1. Dynamic Range of the mRNA Quantitation Assay for NMDA Receptor 1 (NR<sub>1</sub>)<sup>a</sup>**



<sup>a</sup> Panel A: Amplification of NR<sub>1</sub> mRNA in 10-fold dilutions of pooled cDNA. Panel B: Threshold values of amplifications of NR<sub>1</sub> mRNA plotted against the log of the relative initial amount of cDNA. Similar results were obtained for the amplification assays performed for NR<sub>2A</sub>, NR<sub>2B</sub>, PSD-95 and  $\beta$ -actin.

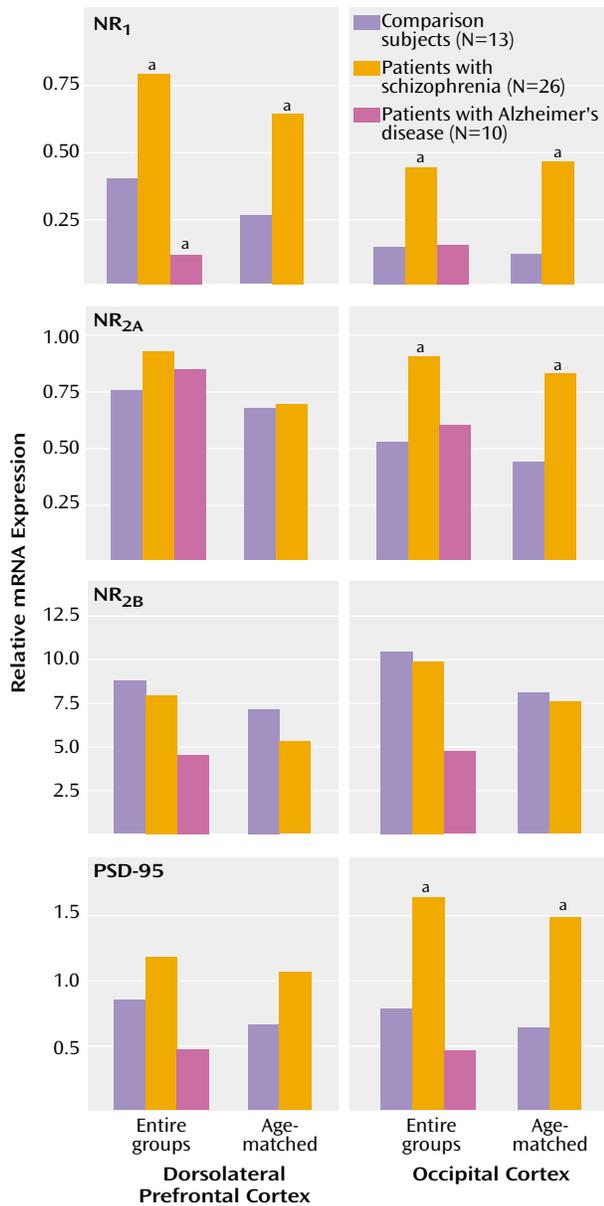
( $\beta$ -actin) in each sample. This relative value was further normalized to the relative expression of the same gene in the pooled sample (see the preceding paragraph). Pooled cDNAs were run in every plate simultaneously with experimental samples. To avoid competition, only one mRNA was amplified in each PCR (monoplex). All samples were run in triplicate.

To measure the level of contamination with chromosomal DNA, all RNA samples that were not treated with reverse transcriptase were subjected to PCR by using NR<sub>1</sub> or NR<sub>2A</sub> primers. The products of the PCRs were analyzed on EtBr-stained agarose gels. In contrast to the respective cDNA templates, the RNA samples showed no PCR products. Random RNA samples (N=10) were also subjected to real-time PCR by using  $\beta$ -actin primers and a molecular beacon. The RNA samples showed at least 4.5 orders of magnitude fewer initial template molecules than the respective cDNAs templates (the difference in threshold cycle between RNAs and cDNA in each sample was at least 15 cycles), demonstrating negligible amounts of genomic DNA contamination (data not shown).

#### **NMDA Receptor Expression in Neuroleptic-Treated Rats**

To assess the effects of neuroleptic exposure on NMDA receptor mRNA, groups of six male Sprague-Dawley rats (6–8 months of age) received daily subcutaneous injections of haloperidol (2 mg/kg) or

**FIGURE 2. Relative Gene Expression of N-Methyl-D-Aspartic Acid (NMDA) Receptor Subunits NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> and of Postsynaptic Density 95 (PSD-95) in the Dorsolateral Prefrontal Cortex and the Occipital Cortex of Normal Comparison Subjects, Patients With Schizophrenia, and Patients With Alzheimer's Disease**



<sup>a</sup> Significantly different from comparison group ( $p < 0.05$ ). Newman-Keuls tests were used for comparisons of entire groups. T tests ( $df = 18$ ) were used for comparisons of age-matched groups (10 patients with schizophrenia and 10 normal comparison subjects).

saline vehicle for 21 days. The specific haloperidol dosing parameters were selected because previous studies (85) have shown them to be effective in regulating dopamine receptor mRNA expression in the rat brain. The rats were sacrificed by decapitation 24 hours after the last injection, and their brains were rapidly removed. Cortices were dissected and immediately frozen on dry ice. NMDA receptor expression was assessed by using the procedures described earlier except that primers and molecular beacons specific to rat NMDA receptor subtypes were used (Table 2).

### Statistical Analysis

Analysis of variance (ANOVA) and covariance (ANCOVA) followed by Newman-Keuls tests and t tests were used to analyze the results of these studies. ANOVA was used for analyses of data from the entire cohort. ANCOVA was used for analyses of data from the entire cohort, with age of the subject at the time of death as a covariate. T tests were used to compare differences between groups when the groups had been matched for age at the time of death. Because each brain region and each NMDA receptor subunit was measured independently with different probes and in different experiments, differences between groups for the expression of each gene were assessed with independent tests of significance. Pearson product moment correlations were used to assess the relationship between continuously distributed variables. Statistical analyses were performed with Statistica for Windows (release 5.5, Statsoft Inc., Tulsa, Okla.) or SPSS for Windows (version 10, SPSS Inc., Chicago).

### Results

The relative abundance of NMDA receptor subunit expression in the dorsolateral prefrontal cortex and the occipital cortex for each group is shown in Figure 2. NR<sub>1</sub> subunit expression in the dorsolateral prefrontal cortex was significantly higher in the schizophrenia group and significantly lower in the Alzheimer's disease group, compared with the normal elderly group ( $F = 12.75$ ,  $df = 2, 46$ ,  $p = 0.00004$ ) (Newman-Keuls tests: schizophrenia versus normal elderly,  $p = 0.009$ ; Alzheimer's disease versus normal elderly,  $p = 0.05$ ; Alzheimer's disease versus schizophrenia,  $p = 0.0002$ ). Higher levels of NR<sub>1</sub> subunit expression were evident in the occipital cortex of the schizophrenia subjects than in the normal subjects, but not in the Alzheimer's disease subjects ( $F = 6.79$ ,  $df = 2, 46$ ,  $p = 0.003$ ) (Newman-Keuls tests: schizophrenia versus normal elderly,  $p = 0.02$ ; Alzheimer's disease versus normal elderly,  $p = 0.94$ ). Expression of NR<sub>2A</sub> in the dorsolateral prefrontal cortex was not significantly different in either the schizophrenic or Alzheimer's disease subjects relative to normal elderly subjects ( $p > 0.14$ , Newman-Keuls). However, the level of NR<sub>2A</sub> expression in the occipital cortex of the schizophrenic subjects was significantly higher than that of the normal elderly subjects ( $F = 5.5$ ,  $df = 2, 46$ ,  $p = 0.007$ ;  $p = 0.04$ , Newman-Keuls). The lower level of NR<sub>2A</sub> expression in the occipital cortex of the Alzheimer's disease group, relative to the normal comparison group, did not reach statistical significance ( $p = 0.40$ , Newman-Keuls). The expression of the NR<sub>2B</sub> subunit was not significantly altered in any group in either of the brain regions examined ( $F < 0.9$ ,  $df = 2, 46$ ,  $p > 0.4$ ). The expression of the mRNA for PSD-95 was also significantly higher in the schizophrenia subjects than in the normal elderly subjects (Figure 2). The schizophrenia-related difference in the expression of PSD-95 was most evident in the occipital cortex ( $F = 4.31$ ,  $df = 2, 46$ ,  $p = 0.02$ ; schizophrenia versus normal elderly,  $p = 0.04$ , Newman-Keuls) but did not reach statistical significance in the dorsolateral prefrontal cortex ( $p = 0.31$ , Newman-Keuls). PSD-95 gene expression was unchanged in the brains of the Alzheimer's disease cohort.

**TABLE 3. Correlation of Relative Gene Expression of N-Methyl-D-Aspartic Acid (NMDA) Receptor Subunits and Postsynaptic Density 95 (PSD-95) in the Dorsolateral Prefrontal Cortex and the Occipital Cortex of Patients With Schizophrenia, Normal Comparison Subjects, and Patients With Alzheimer's Disease (N=49)**

NMDA Receptor Subunit	Gene Expression in Dorsolateral Prefrontal Cortex						Gene Expression in Occipital Cortex					
	NR <sub>2A</sub>		NR <sub>2B</sub>		PSD-95		NR <sub>2A</sub>		NR <sub>2B</sub>		PSD-95	
	r <sup>a</sup>	p	r <sup>a</sup>	p	r <sup>a</sup>	p	r <sup>a</sup>	p	r <sup>a</sup>	p	r <sup>a</sup>	p
NR <sub>1</sub>	0.54	0.0001	0.18	0.23	0.25	0.10	0.82	0.0001	0.36	0.01	0.81	0.0001
NR <sub>2A</sub>			0.72	0.0001	0.47	0.001			0.72	0.0001	0.89	0.0001
NR <sub>2B</sub>					0.51	0.0001					0.61	0.0001

<sup>a</sup> df=47

The schizophrenic cohort was significantly younger than the normal elderly group (Table 1), and age at death correlated significantly with the expression of the NR<sub>1</sub> and NR<sub>2A</sub> subunits of the NMDA receptor ( $r < -0.31$ ,  $df=47$ ,  $p < 0.04$ ) when the entire cohort was considered. The expression of the NMDA receptor subunits and PSD-95 mRNA did not correlate significantly with age within the schizophrenia cohort despite a relatively broad age range of 52–97 years. Two approaches were taken to determine whether age at death affected the differences between groups. First, ANCOVAs, with age as a covariate, were done. The inclusion of age as a covariate did not alter the pattern or the statistical significance of the results in any way (e.g., the ANCOVA comparing NR<sub>1</sub> expression in the dorsolateral prefrontal cortex was significant [ $F=9.6$ ,  $df=2$ ,  $45$ ,  $p=0.0003$ ]). Second, the schizophrenic and normal elderly groups were subgrouped into two groups of 10 subjects each, matched for age to within 1 year. The differences in the expression of the NMDA receptor subunits and PSD-95 were reassessed by using *t* tests. As for the ANCOVA, the same significant group differences ( $t < -2.2$ ,  $df=18$ ,  $p < 0.04$ ) found when analyzing the entire cohort were observed when comparing the age-matched schizophrenic and normal elderly subjects.

Table 3 shows the correlation of expression of the different NMDA receptor subunit mRNAs with each other and with PSD-95 in each brain region for the entire cohort. Nearly identical results were obtained when separate correlational analysis was performed for the schizophrenia subjects, the Alzheimer's disease subjects, and the normal elderly subjects. Similarly, these correlations were not unduly influenced by differences in the age of the subjects, since the results were nearly identical when the contribution of age was factored out by using partial correlation analyses. PSD-95 gene expression correlated best with the expression of NR<sub>2A</sub> and NR<sub>2B</sub> in the dorsolateral prefrontal cortex and with NR<sub>1</sub> gene expression in the occipital cortex but not with NR<sub>1</sub> gene expression in the dorsolateral prefrontal cortex. Comparison of the correlations of PSD-95 with NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> expression in the dorsolateral prefrontal cortex versus the occipital cortex (using Fisher's *r*-to-*z* transformation [86]) showed that the correlations of PSD-95 with NR<sub>1</sub> and NR<sub>2A</sub> were significantly stronger in the occipital cortex than in the dorsolateral prefrontal cortex (NR<sub>1</sub>:  $t=5.5$ ,  $df=47$ ,  $p < 0.001$ ; NR<sub>2A</sub>:  $t=4.1$ ,  $df=47$ ,  $p < 0.001$ ).

All of the schizophrenic subjects had been exposed to neuroleptics for decades. As mentioned previously, the history of neuroleptic exposure for each subject was assessed in detail by examining his or her medical chart. Of the 26 schizophrenic subjects, 13 had been exposed to neuroleptics to within 1 week of death, while neuroleptic medications had been discontinued for the remaining 13 subjects from 1 week before death to as long as 124 weeks before death. The neuroleptic-free interval did not correlate with the expression of any of the genes studied ( $r = -0.16$  to  $0.11$ ,  $df=24$ ,  $p > 0.42$ ). To further assess the possible influence of acute neuroleptic exposure on NMDA and PSD-95 gene expression, the schizophrenic group was subdivided into those who had been exposed to neuroleptics to within 6 weeks of death ( $N=16$ ) and those who had been neuroleptic free more than 6 weeks ( $N=9$ ) (data missing for one subject). Comparison of NR<sub>1</sub>, NR<sub>2A</sub>, NR<sub>2B</sub>, and PSD-95 gene expression in the dorsolateral prefrontal cortex and occipital cortex of these two groups did not reveal any significant differences (*t* tests,  $df=23$ ,  $p > 0.19$ , data not shown). As a further test of the potential influence of neuroleptic exposure on the expression of the genes of interest, NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> gene expression was compared in the cortices of rats that had been treated with a daily 2-mg/kg dose of haloperidol for 3 weeks. No significant differences in NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> gene expression were detected in the cortices of rats treated with haloperidol versus saline-treated rats ( $t < 1.4$ ,  $df=14$ ,  $p > 0.19$ ).

## Discussion

The results of this series of studies have shown that the expression of genes encoding for the predominant cortically expressed subunits of the NMDA receptor is abnormal in the dorsolateral prefrontal cortex and occipital cortex of schizophrenic subjects hospitalized for the long term who died of natural causes in old age. The expression of the NR<sub>1</sub> subunit was consistently higher in both regions of the cortex in the schizophrenic subjects than in normal elderly comparison subjects, and the expression of the NR<sub>2A</sub> subunit was nominally higher in the dorsolateral prefrontal cortex and significantly higher in the occipital cortex in the schizophrenic subjects. The expression of the NR<sub>2B</sub> subunit was not significantly altered in either region in the schizophrenic subjects. In the schizophrenic subjects, the higher level of NMDA receptor subunit gene ex-

pression was accompanied by comparable higher levels of cortical-region-specific expression of PSD-95, which is associated with postsynaptic NMDA receptor specializations. This dysregulation in NMDA receptor subunit expression was specific to the schizophrenic subjects, insofar as identically treated and studied specimens from Alzheimer's disease patients showed lower than normal levels of NR<sub>1</sub> expression, as expected from previous studies (87, 88). Replication of the finding of lower levels of NR<sub>1</sub> expression in Alzheimer's disease confirms the validity of the methods used in this study and adds to the reliability of the findings in the schizophrenic tissues.

The altered expression pattern of the NMDA receptor subunits is unlikely to have been a result of the younger age of the schizophrenia group, because group differences persisted in analyses that included age as a covariate and were evident even when subgroups of schizophrenics and normal elderly subjects were matched closely for age. In addition, age did not correlate with the expression pattern of any of the genes in the schizophrenia cohort, despite those subjects' relatively broad age range. It is possible that the observed differences between the normal elderly subjects and schizophrenic subjects resulted not only from schizophrenia but from an interaction between schizophrenia and the age of the subjects. Only replication of the study in a younger cohort can address this question. Similarly, it is noteworthy that the schizophrenic subjects in this study were chronically and severely ill and had required hospitalization for most of their lives. Whether the observed changes in NMDA receptor subunit and PSD-95 gene expression will generalize to less severely affected subjects is another question that must await replication of the study in a less severely affected cohort.

The possibility that the observed differences in NMDA and PSD-95 gene expression were influenced by exposure to neuroleptics cannot be excluded, but it is unlikely that the higher levels of NMDA receptor subunit gene expression were due to acute neuroleptic effects. Gene expression did not correlate with the amount of time subjects had been medication free before death; it was not differentially affected when the schizophrenia group was stratified into subgroups who had taken neuroleptics until the time of death versus those who had been neuroleptic free for 6–124 weeks; and it was not observed in the cortices of rats treated subchronically with haloperidol for 3 weeks. That the observed NMDA receptor subunit gene expression was unlikely to have been directly influenced by neuroleptic exposure is supported further by other studies that have failed to find increases in cortical NMDA receptor gene expression after neuroleptic treatment (89–91).

The complexity of the glutamate system and its receptors is paralleled by the complexity of findings with respect to the expression of glutamate receptors in the brain in schizophrenia. Different studies have reported different findings in different regions of the brains of schizophrenic subjects. One study, which used in situ hybridization tech-

niques and specimens from the same collection used here, reported higher levels of NR<sub>1</sub> and NR<sub>2A</sub> expression in the prefrontal cortex of schizophrenic subjects (37), substantiating the results reported here with different detection techniques and different molecular probes. Other studies have reported higher levels of glutamate receptor binding in the orbital frontal cortex and the superior temporal gyrus that are in general agreement with the current findings (34, 35, 41). Results from another in situ hybridization study found that while the overall abundance of NMDA receptor subunits did not differ in the frontal cortices of schizophrenic subjects relative to comparison subjects, there was a shift toward increased abundance of the NR<sub>2</sub> subunit class, especially NR<sub>2D</sub> subunits (38). Recently, Gao et al. (42) reported lower NR<sub>1</sub> expression and higher NR<sub>2B</sub> expression in several subregions of the hippocampus of schizophrenic subjects, whereas the expression of the NR<sub>2A</sub> subunit was unchanged in the same regions. These and other studies (59) all support the conclusion that while the expression of glutamate receptors may be complex, it is nevertheless significantly affected in schizophrenia, and the expression of different subunits of NMDA receptors is significantly altered in different brain regions.

The observation of higher levels of NMDA receptor NR<sub>1</sub> and NR<sub>2A</sub> subunit gene expression associated with schizophrenia raises the question of the functional consequences of this apparent change and its relationship to glutamatergic dysfunction hypotheses of schizophrenia. Knowledge of a possible disequilibrium in subunit expression does not directly provide an understanding of the attendant functional consequences, but the literature suggests that functional consequences are a likely result of altered NMDA gene expression (59). For example, receptors assembled in vitro from the NR<sub>1</sub> subunit alone bind glycine antagonists, but the assembly of both NR<sub>1</sub> and NR<sub>2A</sub> subunits is required for binding to glutamate antagonists and channel-blocking agents (92). Similarly, the channel properties and antagonist affinities of NMDA receptors assembled from combinations of NR<sub>1</sub> and NR<sub>2A</sub> are different from receptors assembled from the NR<sub>1</sub> and NR<sub>2B</sub> subunits (57, 58). The subunit composition of NMDA receptors can also significantly influence their susceptibility to neurotoxicity and to cell death. Cell lines transfected with NR<sub>1</sub>/NR<sub>2A</sub> subunits are more susceptible to cell death than those transfected with combinations of NR<sub>1</sub>/NR<sub>2B</sub>, which are more susceptible than cells transfected with NR<sub>1</sub>/NR<sub>2C</sub> subunits (47).

The potential functional, and perhaps deleterious, consequences of higher levels of NR<sub>1</sub> and NR<sub>2A</sub> gene expression in schizophrenia are further suggested by the clear evidence for increased PSD-95 gene expression and the correlation of PSD-95 gene expression with NMDA receptor subunit expression. PSD-95 was significantly overexpressed in the occipital cortex, and its expression correlated most strongly with the expression of NMDA receptor subunit mRNA in this region, although correlations be-

tween PSD-95 mRNA and NR<sub>2A</sub> and NR<sub>2B</sub> mRNA in the dorsolateral prefrontal cortex were also significant and relatively high. Given the importance of the C-terminal domains of NR<sub>2A</sub> and NR<sub>2B</sub> to receptor function and to interaction with PSD-95 (93, 94), it is likely that the NMDA receptors formed by the higher levels of NR<sub>1</sub> and NR<sub>2A</sub> subunits were appropriately clustered and anchored with at least some functional integrity. The coexistence of nitric oxide immunoreactivity and NMDA receptors on cortical spiny neurons (63, 68) and PSD-95 mediation of nitric oxide neurotoxicity induced by NMDA receptor activation (67) raise the possibility that higher than normal levels of NMDA receptor subunit expression have detrimental consequences.

The relationship between NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> subunits and PSD-95 in the dorsolateral prefrontal cortex was different than that in the occipital cortex. PSD-95 expression correlated exceptionally strongly with NR<sub>1</sub>, NR<sub>2A</sub>, and NR<sub>2B</sub> expression in the occipital cortex, but it did not correlate significantly with NR<sub>1</sub> subunit expression in the dorsolateral prefrontal cortex despite significantly higher levels of NR<sub>1</sub> expression in both brain regions of schizophrenic subjects. Furthermore, PSD-95 correlated less strongly with NR<sub>2A</sub> and NR<sub>2B</sub> expression in the dorsolateral prefrontal cortex than in the occipital cortex. These results suggest that NMDA receptors are expressed predominantly at postsynaptic sites in the occipital cortex but that their distribution or composition may be different in the dorsolateral prefrontal cortex. These results also raise the possibility of local regulation of glutamatergic neurotransmission and suggest that different components of the glutamatergic systems may be affected differentially in different brain regions.

The divergent correlations between the NMDA receptor subunit and PSD-95 expression in the dorsolateral prefrontal cortex and occipital cortex could imply that the pre- and postsynaptic distribution of NMDA receptors is different in the two regions. Thus, if NMDA receptors were distributed both pre- and postsynaptically in the dorsolateral prefrontal cortex, but predominantly postsynaptically in the occipital cortex, then one would expect the correlations between the NMDA receptor subunits and PSD-95 to be significantly higher in the occipital cortex than in the dorsolateral prefrontal cortex. The lack of significantly higher levels of PSD-95 expression in the dorsolateral prefrontal cortex of the schizophrenic subjects would then suggest that the observed overexpression of the NR<sub>1</sub> subunit in that region is likely presynaptic in origin. An alteration in the balance of pre- and postsynaptic NMDA receptors in the dorsolateral prefrontal cortex of schizophrenic subjects could have broad implications, not only with respect to glutamatergic function but also relative to the responsiveness to glutamatergic agonists and antagonists.

A parsimonious, yet perhaps simplistic overall interpretation of the results of this study is that some NMDA re-

ceptors are more abundant in the dorsolateral prefrontal cortex and occipital cortex of schizophrenic subjects than in those regions in comparison subjects. This interpretation is concordant with a hypoglutamatergic state hypothesis of schizophrenia, especially given the strong possibility that the increased expression of at least some of these receptor subunits is at postsynaptic sites. A traditional pharmacological interpretation would suggest that lower levels of glutamatergic activity would lead to higher levels of expression of postsynaptic glutamate receptors. In fact, animal studies have shown that NMDA receptor antagonism with phencyclidine can increase the expression of NR<sub>1</sub> mRNA (95). At first glance, however, this interpretation of the results is at odds with pharmacological studies of schizophrenia with NMDA receptor antagonists. Studies of the psychotomimetic effects of uncompetitive NMDA receptor antagonists have been instrumental in the development of hypotheses that posit a hypofunctional postsynaptic glutamatergic system in schizophrenia. Some recent evidence suggests that the interpretation of the results of studies with uncompetitive NMDA antagonists may be more complex and that the symptoms induced by ketamine could be a result of increased glutamate release and/or increased activation of postsynaptic glutamate receptors (24). Because of the presynaptic localization of some NMDA receptors (51, 54), a hyperglutamatergic state and increased glutamate release could result from ketamine administration and its interaction with presynaptic NMDA receptor elements (54, 96). The increased glutamate release could then act on postsynaptic glutamatergic receptors (e.g., AMPA) and provoke the psychotomimetic symptoms observed with glutamate receptor antagonists. If this interpretation of overexpressed NMDA receptors at presynaptic sites in the dorsolateral prefrontal cortex of schizophrenic patients is correct, then it would be reasonable to assume that NMDA antagonists would exacerbate the symptoms of schizophrenia. Thus, although the results of the current study and those cited earlier support the view that cortical glutamatergic systems are significantly affected and abnormal in schizophrenia, they highlight the need for further and more detailed studies to elucidate the precise nature of the glutamatergic abnormality.

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Supported by the Schizophrenia Brain Bank, Merit Review and Mental Illness Research, Education, and Clinical Center awards from the Department of Veterans Affairs to Dr. Haroutunian, NIMH grant MH-45212 to Dr. Davis, and grant HL-43521 from the National Heart, Lung, and Blood Institute to Dr. Kramer.

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